# Diagnosis of ENSO Related Air-Sea Feedbacks in Observations and Coupled GCMs

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# What causes the growth of El Nino?

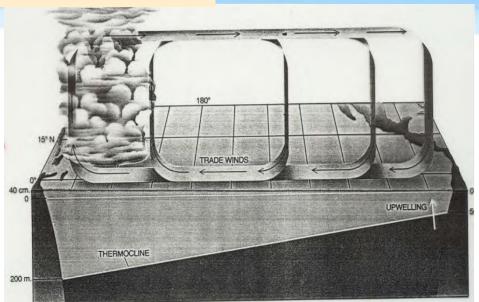
J. Bjerknes (1969) first termed the equatorial atmospheric zonal overturning circulation as "Walker circulation".

#### **Positive air-sea feedbacks:**

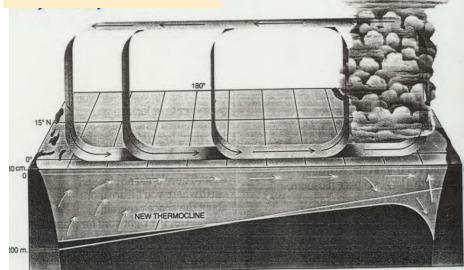
- Zonal advective feedback
- Ekman feedback
- Thermocline feedback

$$\frac{\partial T'}{\partial t} \approx -u'\overline{T_x} - w'\overline{T_z} - \overline{w}T_z'$$

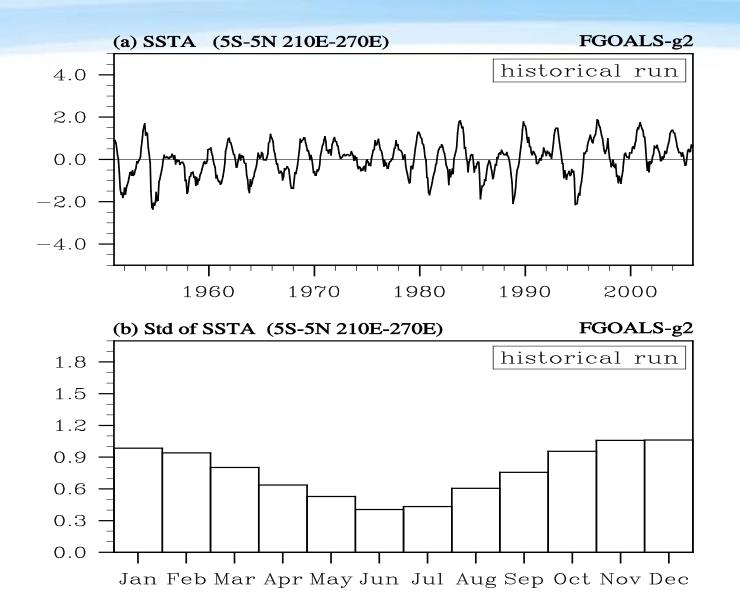
#### **Normal condition**



#### **El Nino condition**

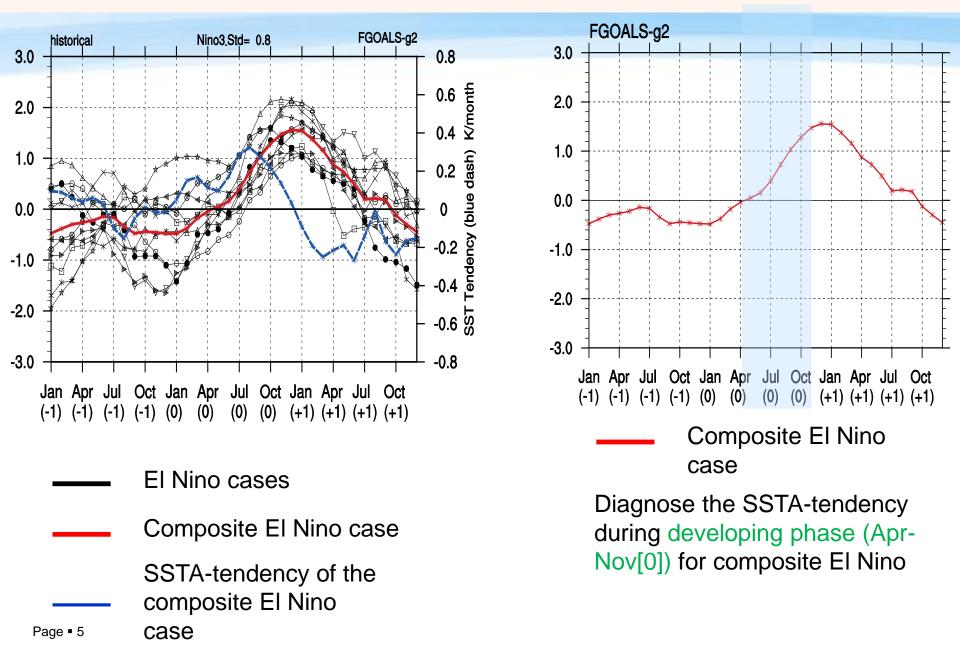


### **Example 1: Time series of Niño3 SSTA in FGOALS-G2**



For given a coupled model, the ENSO strength might be weaker (or stronger) than the observed. How do you know which part of model air-sea feedback processes (atmospheric response to SST, ocean response to the wind, cloud radiative forcing, and/or surface latent heat flux) are incorrect?

### **Composite Time Series of SSTA in Nino3**



# Mixed-layer temperature tendency equation

ML temperature tendency equation:

$$\frac{\partial T'}{\partial t} = -u' \frac{\partial \overline{T}}{\partial x} - \overline{u} \frac{\partial T'}{\partial x} - u' \frac{\partial T'}{\partial x} - w' \frac{\partial \overline{T}}{\partial z} - \overline{w} \frac{\partial T'}{\partial z} - w' \frac{\partial T'}{\partial z}$$
term 1 term 2 term 3 term 4 term 5 term 6
$$-v' \frac{\partial \overline{T}}{\partial y} - \overline{v} \frac{\partial T'}{\partial y} - v' \frac{\partial T'}{\partial y} + \frac{Q'_{net}}{\rho C_p H} + R$$
term 7 term 8 term 9 term 10

term 1 - term 10 are shown in the above equation

**term 11**: the sum of term1 to term 10;

term 12: the actual mixed layer temperature tendency

Bar: climatological seasonal cycle;

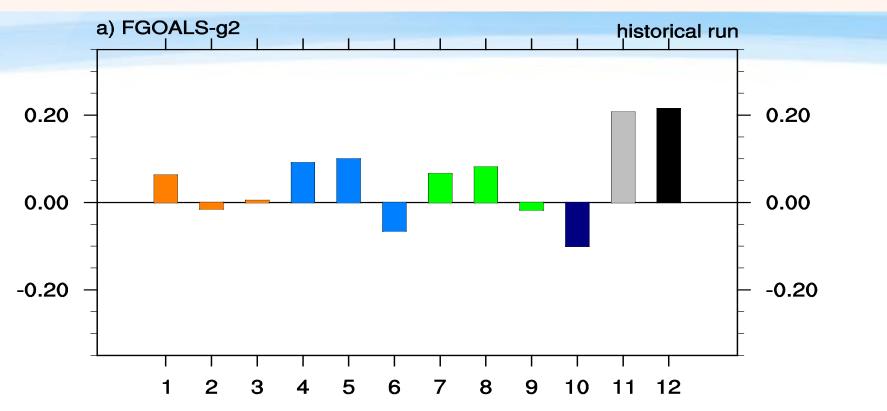
**Prime**: anomaly field with respect to the climatological seasonal cycle;

*H*: spatially and temporally varying mixed layer depth

R: residual term

 $\boldsymbol{Q_{net}} = \boldsymbol{Q_{SW}} \boldsymbol{-} \boldsymbol{Q_{LW}} \boldsymbol{-} \boldsymbol{Q_{LH}} \boldsymbol{-} \boldsymbol{Q_{SH}}$ 

# **Mixed-Layer Heat Budget Diagnosis**



The major contributing terms are zonal advective feedback (term 1), Ekman feedback (term4), thermocline feedback (term 5) and meridional advective feedback (term8)

$$\frac{\partial T'}{\partial t} = \frac{\overline{W}}{h} \frac{T'_e}{\rho C_w h}$$

$$T' = \delta T e^{\sigma t}$$

$$\rho C_w h \sigma = -\rho C_w \overline{w} \frac{T_e}{T'} \frac{Q'}{T'}$$

$$BFI = \rho C_w \overline{w} R(u, T) R(D, u) R(Te, D)$$

$$TFI = -R(LHF, T) \quad R(SWR, T)$$

Combined dynamic and thermodynamic feedback index (CFI) may be written as:

# **Bjerkners Thermocline feedback**

Following previous studies (Liu, Li, et al. 2012; Chen, Li, et al. 2015), the growth rate associated with thermocline feedback can be written as:

$$\sigma = \frac{\overline{w}}{H} R(\tau'_x, T') R(D', \tau'_x) R(T'_e, D')$$

 $\overline{W}$ : mean vertical velocity; H: mixed layer depth

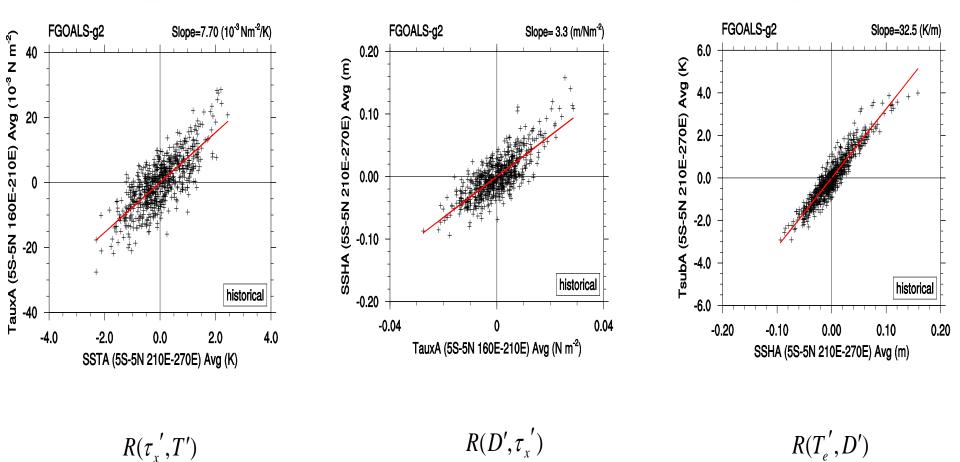
D': thermocline depth anomaly;  $T_e'$ : subsurface ocean temperature anomaly

 $R(\tau_x',T')$ : the atmospheric response of zonal wind stress anomaly ( $\tau_x'$ ) in the central equatorial Pacific (CEP) to a unit SSTA forcing in the eastern equatorial Pacific (EEP);

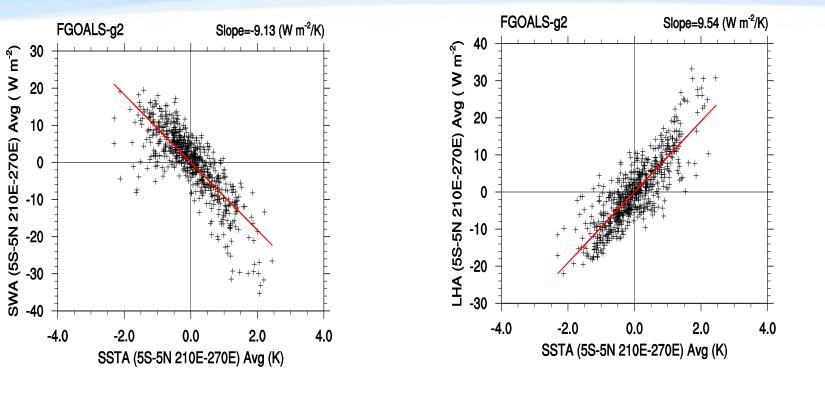
 $R(D', \tau_x')$  : the response of ocean thermocline in EEP to a unit zonal wind stress (  $\tau_x'$ ) forcing in CEP;

 $R(T_e',D')$  : the response of the ocean subsurface temperature to a unit thermocline depth change in EEP.

#### Three feedback processes



#### Thermodynamic-related feedbacks (SW feedback and LH feedback)

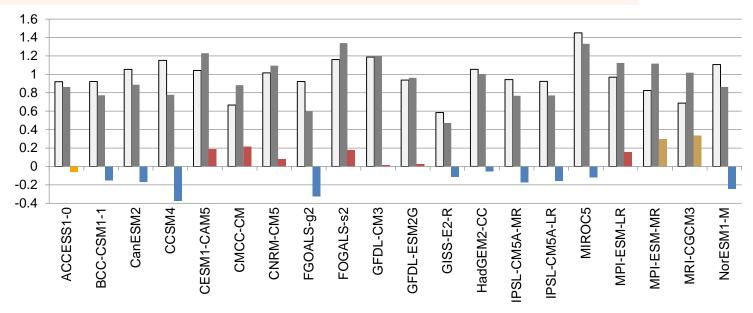


R(SW',T')

R(LH',T')

# Example 2: Understand the cause of divergent projections of ENSO amplitude change under Global Warming in CMIP5 models





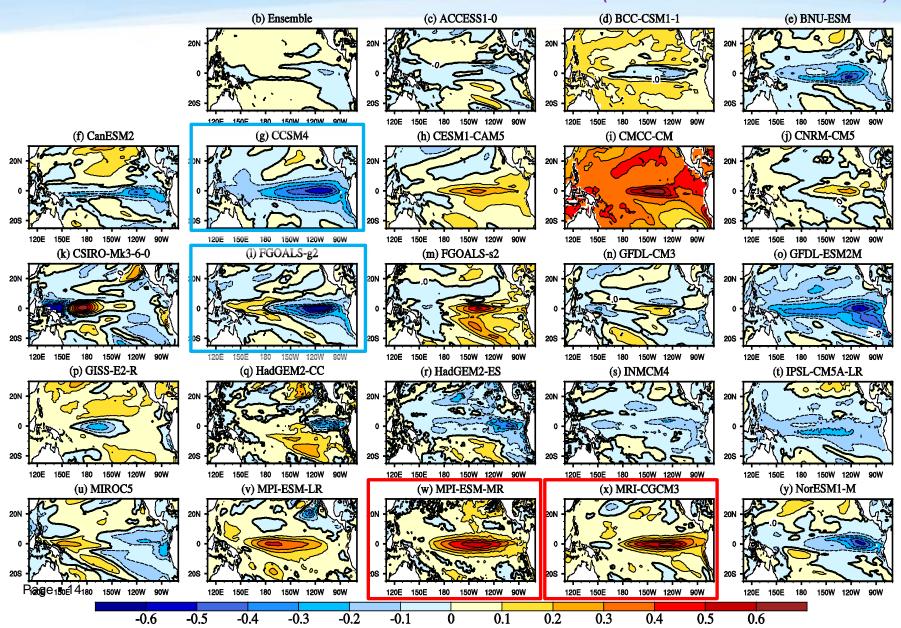
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# ENSO development involves various positive feedbacks $\frac{\partial T}{\partial t} \approx -u'\overline{T}_x - w'\overline{T}_z - \overline{w}T_z'$ ZA Ekman TH

# **Science Question:**

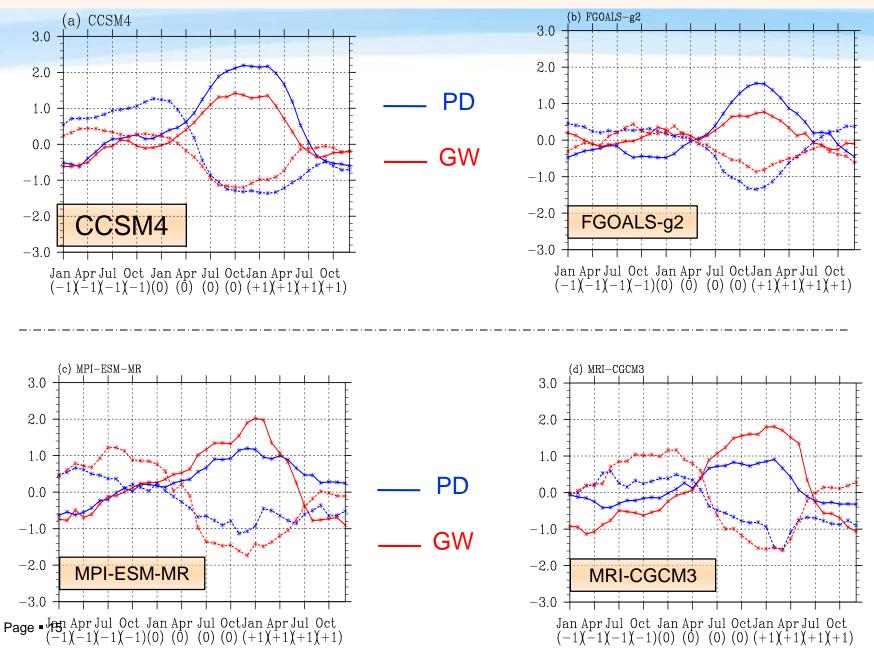
# What feedback term is critical in causing divergent ENSO projections under GW within 20+ CMIP5 models?

#### Change of SSTA STD pattern: <u>RCP85 (2051-2100) minus historical (1951-2000)</u>



#### (SST has been de-trended)

# **Composite evolutions of El Nino and La Nina in 4 CGCMs**



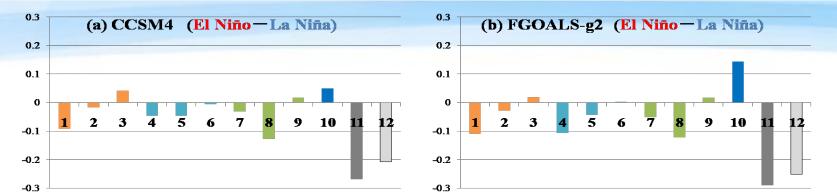
# **Mixed-layer Heat Budget Analysis**

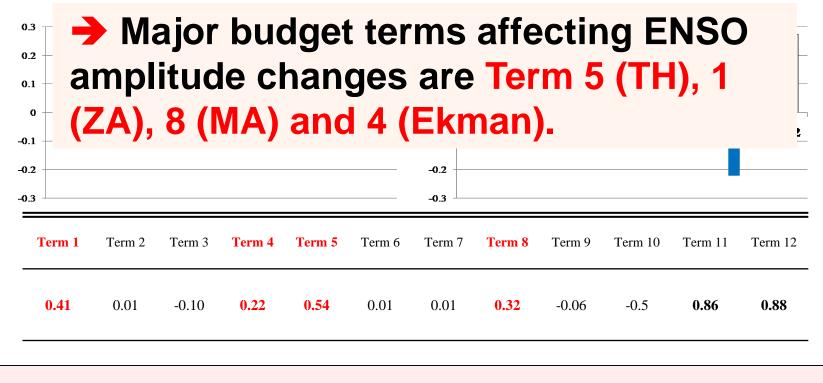
The mixed layer temperature tendency equation:

$$\partial T'/\partial t = -u' \partial \overline{T}/\partial x - \overline{u} \partial T'/\partial x - u' \partial T'/\partial x - w' \partial \overline{T}/\partial z - \overline{w} \partial T'/\partial z - w' \partial T'/\partial z$$
(1)
(2)
(3)
(4)
(5)
(6)
$$-v' \partial \overline{T}/\partial y - \overline{v} \partial T'/\partial y - v' \partial T'/\partial y + \frac{Q'}{\rho C_p H} + R$$
(7)
(8)
(9)
(10)

We examine the MLT tendency during ENSO developing phase (Apr-Nov[year 0]) for each of the CGCMs.

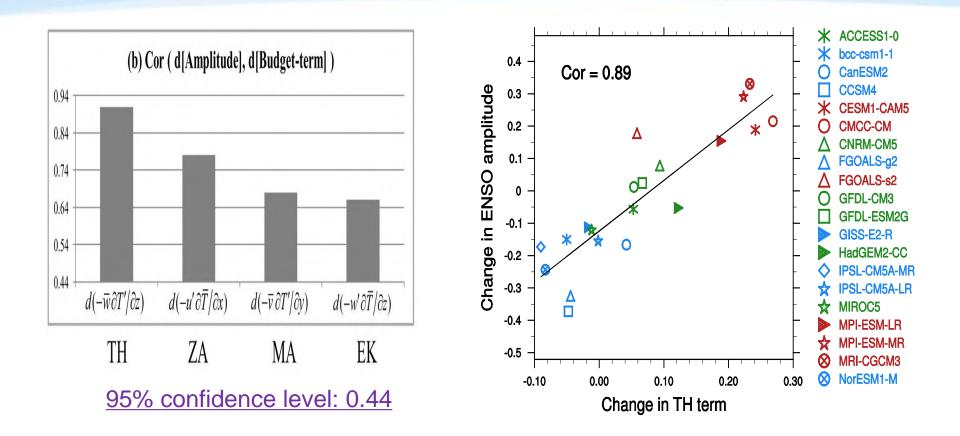
# Composite MLT Budget Terms (GW minus PD)





 $\begin{array}{l} (1) \ \mathrm{d}(-u'\partial\overline{T}/\partial x) & (2) \ \mathrm{d}(-\overline{u}\,\partial T'/\partial x) & (3) \ \mathrm{d}(-u'\partial T'/\partial x) & (4) \ \mathrm{d}(-w'\partial\overline{T}/\partial z) & (5) \ \mathrm{d}(-\overline{w}\,\partial T'/\partial z) & (6) \ \mathrm{d}(-w'\partial T'/\partial z) \\ \mathrm{Page} \bullet 17 \\ (7) \ \mathrm{d}(-v'\partial\overline{T}/\partial y) & (8) \ \mathrm{d}(-\overline{v}\,\partial T'/\partial y) & (9) \ \mathrm{d}(-v'\partial T'/\partial y) & (10) \ \mathrm{d}(Q'/\rho C_{p}H) & (11) \ \mathrm{d}(\mathrm{Adv}+\mathrm{Qnet}) & (12) \ \mathrm{d}(\partial T'/\partial t) \end{array}$ 

#### Correlation between ENSO Amplitude Change and MLT Budget Terms among 20 CMIP5 models



→ TH and ZA feedbacks are major drivers for the divergent ENSO amplitude changes.

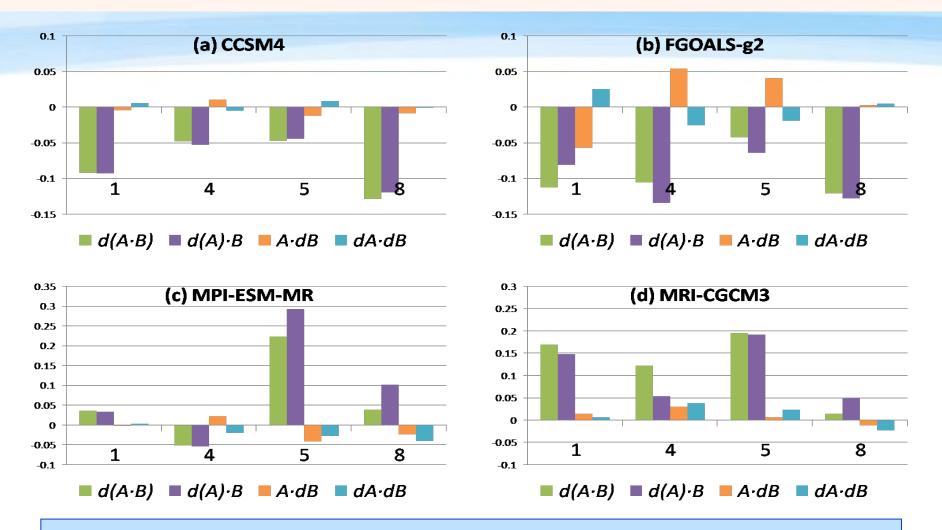
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# **Science Question 2:**

The changes of all feedbacks mentioned above involve both the changes of the **mean state** and **perturbation.** Which change, **mean state or perturbation change**, is critical in determining the MLT tendency change?

$$\partial T'/\partial t = -u'\partial \overline{T}/\partial x - \overline{u} \partial T'/\partial x - u'\partial T'/\partial x - w'\partial \overline{T}/\partial z - \overline{w} \partial T'/\partial z - w'\partial T'/\partial z$$
(1)
(2)
(3)
(4)
(5)
(6)
$$-v'\partial \overline{T}/\partial y - \overline{v} \partial T'/\partial y - v'\partial T'/\partial y + \frac{Q'}{\rho C_p H} + R$$
(7)
(8)
(9)
(10)

# **Relative Role of Perturbation vs. Mean State Changes**



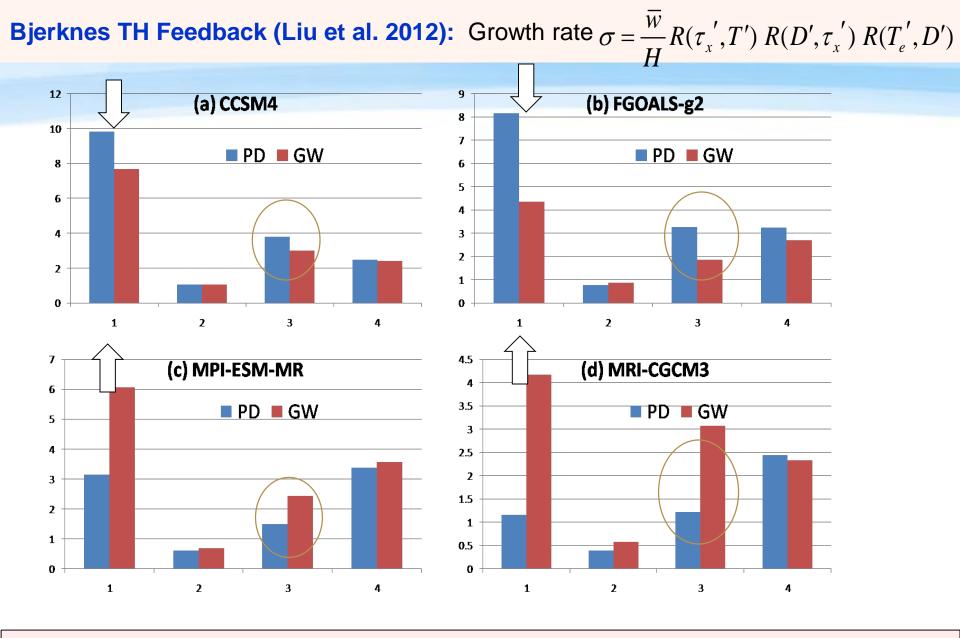
The change of perturbation is critical for the diverged
 ENSO amplitude projections. This indicates that the direct
 impact of the mean state change is negligible.

# Bjerknes TH Feedback (Liu, Li et al. 2012, J. Climate):

Growth rate: 
$$\sigma = \frac{W}{H} R(\tau_x', T') R(D', \tau_x') R(T_e', D')$$

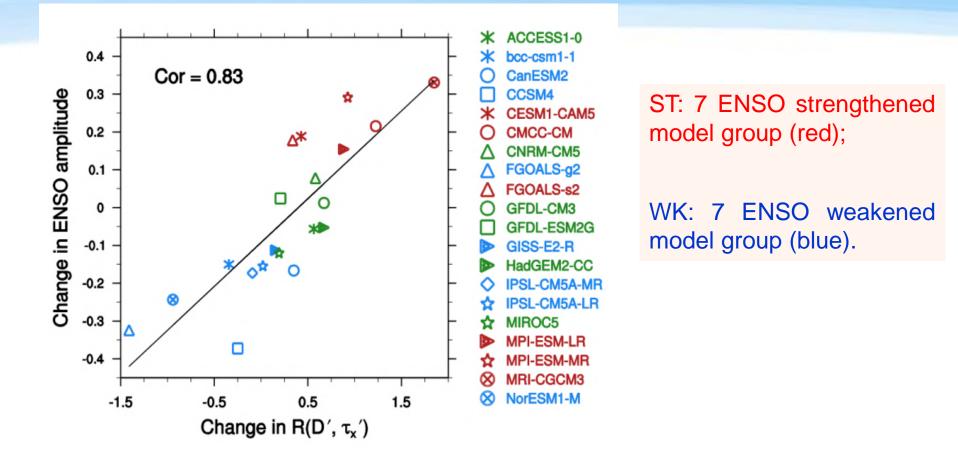
#### **Science Question 3:**

The Bjerknes TH feedback involves 1) how the atmospheric wind responds to unit SSTA forcing, 2) how strong the ocean TH responds to unit wind stress forcing, and 3) how strong the subsurface temperature responds to unit TH change. Which feedback coefficient change is critical in determining the ENSO amplitude change?



**bar 1:**  $R(\tau_x',T') R(D',\tau_x') R(T_e',D')$ . bar2:  $R(\tau_x',T')$ ; bar3: $R(D',\tau_x')$ ; bar4:  $R(T_e',D')$ .

# ENSO Amplitude Change vs. R (D', Taux') in 20 CMIP5 models



A further analysis shows that in **ZA feedback**, **u'** is primarily determined by **geostrophic current** anomaly, which is also related to **the TH anomaly**. Thus, **the distinctive changes of thermocline response to the wind forcing** hold a key for explaining the ENSO amplitude change under GW.

#### **Composite Thermocline Response to taux' and ENSO Meridional Structure Change**

80W

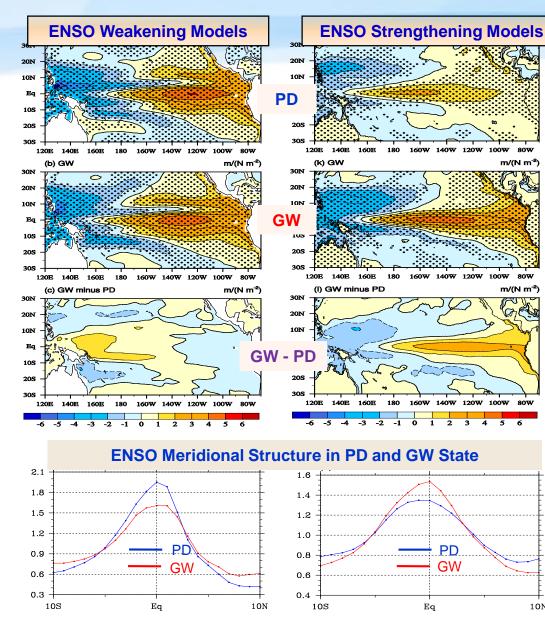
m/(N m<sup>-2</sup>)

100W 80%

100W 803

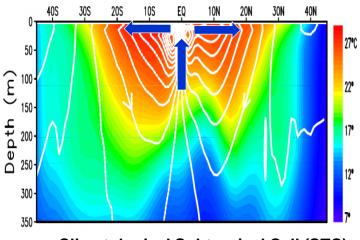
10N

m/(N m<sup>-2</sup>)



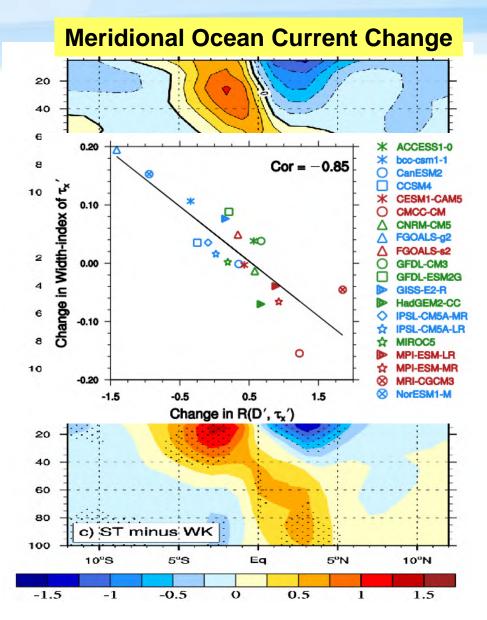
What controls the ENSO meridional structure?

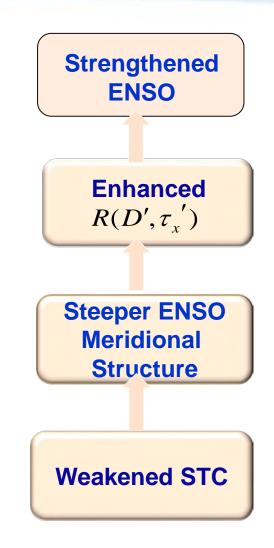
#### ➔ Pacific Subtropical Cell (STC)



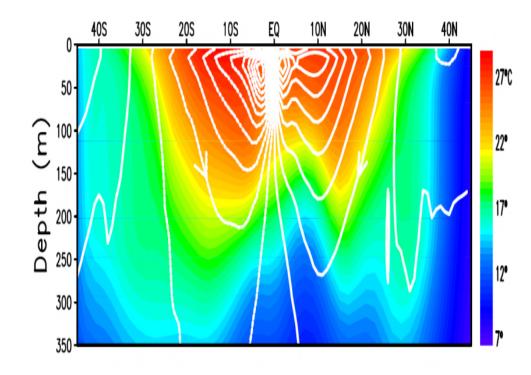
**Climatological Subtropical Cell (STC)** (from Nonaka et al., 2002)

# A Robust Relationship between ENSO and STC Change





# **Step 2:** Project how Pacific climatological STC would change under global warming



What controls the strength of climatological mean STC ?

 Strength of trade winds / SST gradients

#### An analytical model to understand SST change under global warming

$$\rho C_P h \frac{\partial T}{\partial t} = Q_{net} + D_{ocean}$$

Ocean mixed layer heat budget

D<sub>ocean</sub>: Ocean dynamical effect

**Quasi-equilibrium state assumption**: Climate states are in statistically equilibrium in both PD and GW, respectively. For annual average, time tendency term can be dropped and the difference between GW and PD ( $\delta$ =GW-PD) satisfies:

$$\begin{split} \delta Q_{net} + \delta D_{ocean} &= 0 \\ \delta Q_{sw} + \delta Q_{lw}^{down} - \delta Q_{lw}^{up} - \delta Q_{lh} - \delta Q_{sh} + \delta D_{ocean} = 0 \\ \delta Q_{sw} + \delta Q_{lw}^{down} - 4\sigma \overline{T_s}^3 \delta T_s - \delta Q_{lh}^{atmos} - \gamma_1 \overline{Q_{lh}} \delta T_s - \delta Q_{sh}^{atmos} - \gamma_2 \overline{V} \delta T_s + \delta D_{ocean} = 0 \\ \delta T_s &= \frac{\delta Q_{sw} + \delta Q_{lw}^{down} - \delta Q_{lh}^{atmos} - \delta Q_{sh}^{atmos} + \delta D_{ocean}}{\gamma_1 \overline{Q_{lh}} + 4\sigma \overline{T_s}^3 + \gamma_2 \overline{V}} \end{split}$$

#### Analytical model to understand SST change under global warming (Con.)

To the first order, assume that circulation/cloud change is too small to affect the SST change.

$$\delta T_{s} = \frac{\delta Q_{sw} + \delta Q_{lw}^{down} - \delta Q_{lh}^{atmos} - \delta Q_{sh}^{atmos} + \delta \mathcal{V}_{ocean}}{\gamma_{1} \overline{Q_{lh}} + 4\sigma \overline{T_{s}}^{3} + \gamma_{2} \overline{V}}$$

Zhang and Li 2014 J. Climate

$$\delta T_{s} = \frac{\text{Uniform } \delta Q_{lw}^{down}}{\gamma_{1} \overline{Q_{lh}} + 4\sigma \overline{T_{s}}^{3} + \gamma_{2} \overline{V}}$$

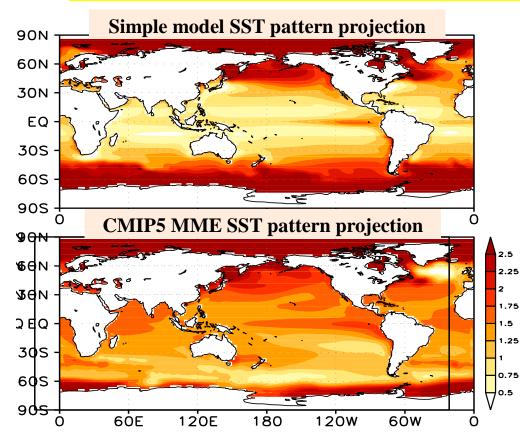
$$\delta Q_{lw}^{down} = (\gamma_1 \overline{Q_{lh}} + 4\sigma \overline{T_s}^3 + \gamma_2 \overline{V}) * \delta T_s$$

$$\delta Q_{lw}^{down} = 4\sigma \overline{T_s}^3 * \delta T_s \sim \delta T_s = \frac{\delta Q_{lw}^{down}}{4\sigma \overline{T_s}^3}$$

Longwave radiative – evaporative damping mechanism

#### An analytical model for mean SST change under global warming

$$\delta T_{s} = \frac{\delta Q_{sw} + \delta Q_{lw}^{down} - \delta Q_{lh}^{atmos} - \delta Q_{sh}^{atmos} + \delta \mathcal{D}_{ocean}}{\gamma_{1} \overline{Q_{lh}} + 4\sigma \overline{T_{s}}^{3} + \gamma_{2} \overline{V}}$$



#### Zhang and Li 2014 J. Climate

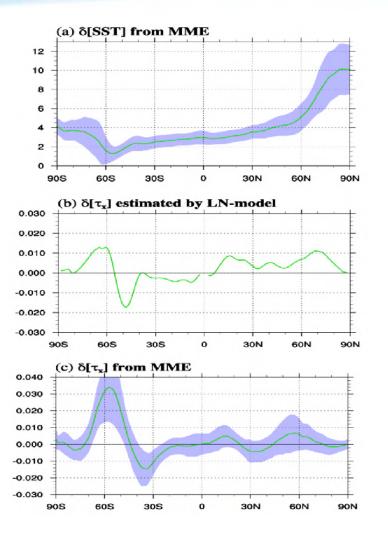
To the first order, assume that circulation/ cloud change is too small to affect the SST change.

#### Pattern correlation coefficient: 0.7

#### The simple model is able to capture

- Polar amplification;
- El Nino like warming;
- Greater warming at the equator than in subtropics.

# Given the robust zonal mean SST projection, how would zonal wind and STC change under GW?



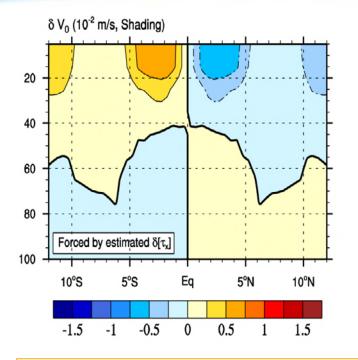
← 20+ CMIP5 model **ensemble mean projection** of the **zonal mean SST change** 

← Estimated zonal mean zonal wind stress change based on Lindzen and Nigam (1987) model

← CMIP5 MME projection of zonal mean zonal wind stress change.

**Pattern correlation coefficient: 0.6** (30S-30N)

# OGCM simulations forced by the theoretically estimated zonal wind stress change



STC is weakened under GW!

The same conclusion is derived when forced by the CMIP5 MME derived wind stress change field.

#### **Summary and Conclusion:**

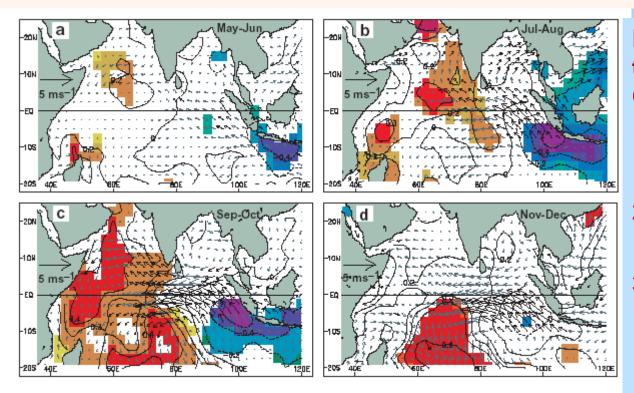
A two-step ENSO projection strategy was developed.

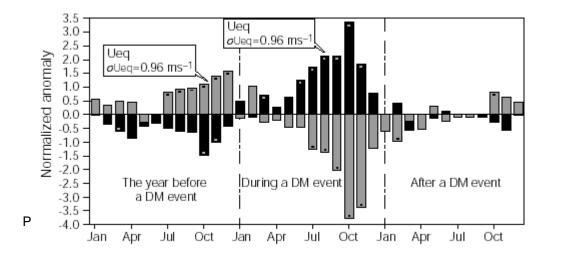
Step 1: ENSO – STC relationship

Step 2: Robust zonal mean SST projection → zonal mean zonal wind stress/STC change

A weakened climatologic STC is projected in late 21C. This would favor a sharper ENSO meridional structure and thus an enhanced Bjerknes feedback and strengthened ENSO amplitude under GW.

#### **Example 3: Diagnosis of the Indian Ocean Dipole**





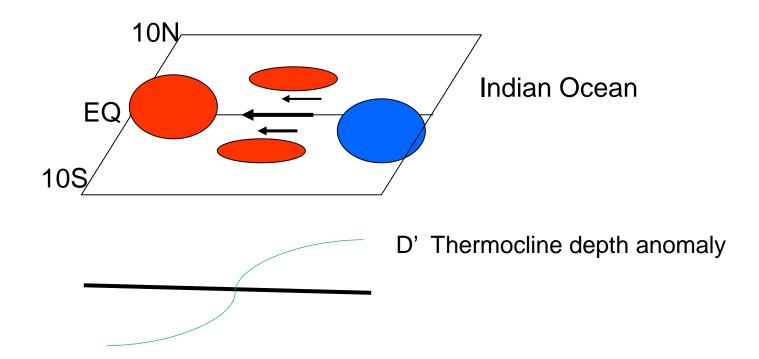
#### El Nino-like Variability in the Indian Ocean → Indian Ocean dipole (IOD)

- 1) IOD is an air-sea coupled mode in Indian Ocean.
- 2) It involve Bjerknes' dynamic feedback.
- Its peak phase occur in northern fall, different from El Nino which is mature in northern winter.
- 4) IOD has a great impact on Asian monsoon and East Asia climate.

(POSITIVE IOD PHASE : 1961,1967,1972,1982,1994,1997)

Saji et al. 1999

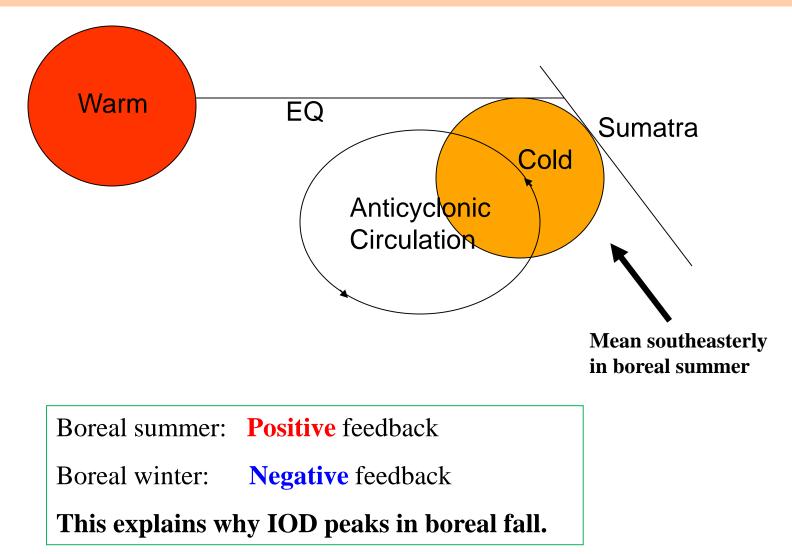
# Schematic of Bjerknes dynamical feedback during IOD development



Steps to diagnose the Bjerknes dynamic feedback in a coupled model: 1.R(u', T') 2.R(D', u') 3.R(Te', D')

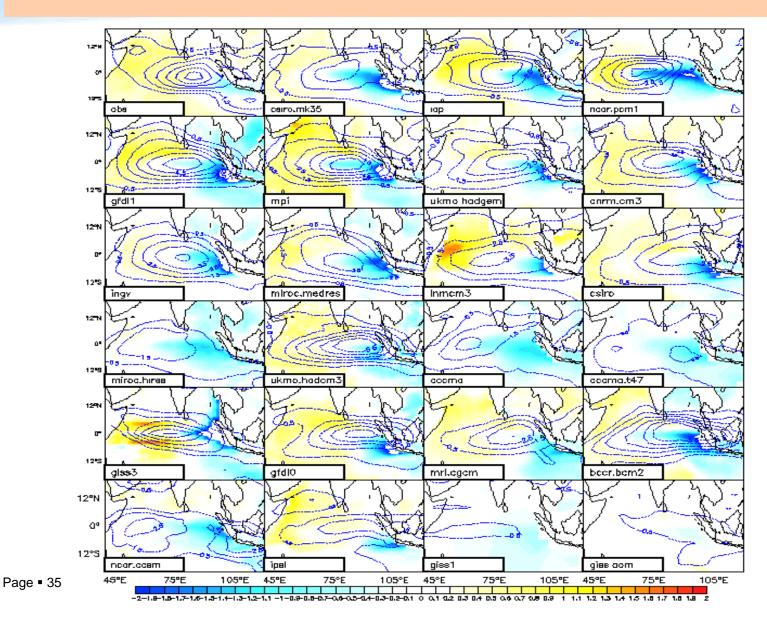
# A Season-dependent Thermodynamic Air-Sea Feedback in the Southeast Indian Ocean

(Li et al. 2003, J. Atmos. Sci.)



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#### Composite SSTA (shading) and 850-hPa zonal wind anomaly fields in SON from the observation and 23 AR4 models



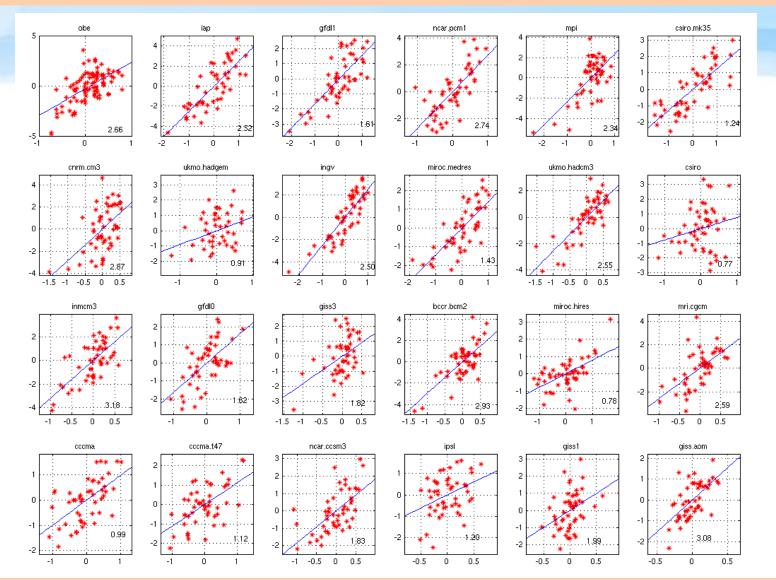
# **Rank the IOD simulation strength**

No.	ISI	DMI	EDMI	Model short name	CMIP model names
1	4.608	1.369	0.863	iap	FGOALS-g1.0
2	4.015	1.145	0.853	gfdl1	GFDL-CM2.1
3	3.841	1.242	0.874	ncar.pcm1	PCM
4	3.723	1.052	0.758	mpi	ECHAM5/MPI-OM
5	3.445	1.287	1.029	csiro.mk35	CSIRO-Mk3.5
6	2.904	1.014	0.707	cnrm.cm3	CNRM-CM3
7	2.687	0.787	0.644	ukmo.hadgem	UKMO-HadGEM1
8	2.455	0.886	0.722	ingv	INGV-SXG
9	2.417	0.920	0.687	miroc.medres	MIROC3.2(medres)
10	2.414	0.719	0.501	ukmo.hadcm3	UKMO-HadCM3
11	2.302	0.797	0.595	csiro	CSIRO-Mk3.0
12	2.203	0.963	0.525	inmcm3	INM-CM3.0
13	1.606	0.627	0.464	gfdl0	GFDL-CM2.0
14	1.393	0.631	0.481	giss3	GISS-EH
15	1.359	0.498	0.429	bccr.bcm2	BCCR-BCM2.0
16	1.323	0.638	0.609	miroc.hires	MIROC3.2(hires)
17	1.171	0.628	0.443	mri.cgcm	MRI-CGCM2.3.2
18	1.103	0.549	0.615	cccma	CGCM3.1(T63)
19	0.956	0.556	0.583	cccma.t47	CGCM3.1(T47)
20	0.700	0.424	0.424	ncar.ccsm3	CCSM3
21	0.661	0.401	0.315	ipsl	IPSL-CM4
22	0.330	0.240	0.272	giss1	GISS-ER
23	0.158	0.201	0.207	giss.aom	GISS-AOM

Μ

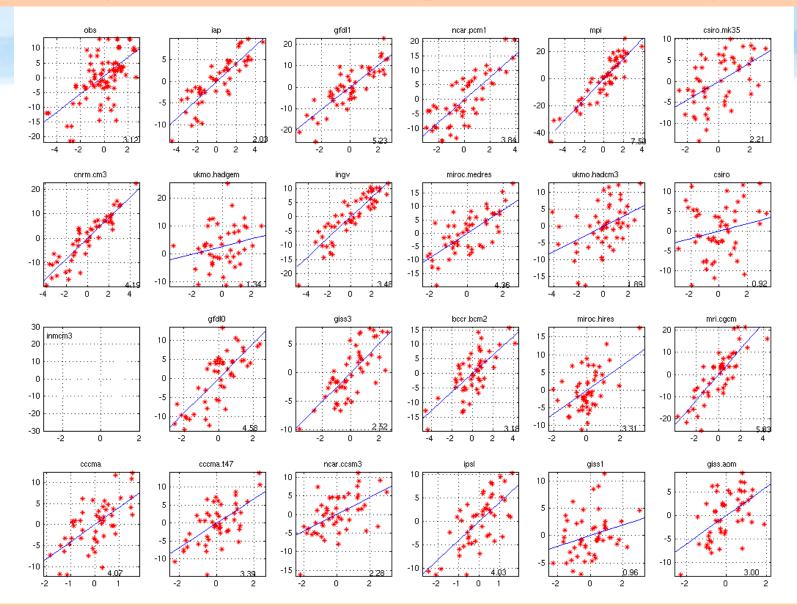
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#### **Diagnose the strength of atmospheric response to unit SSTA forcing, R(u',T')**



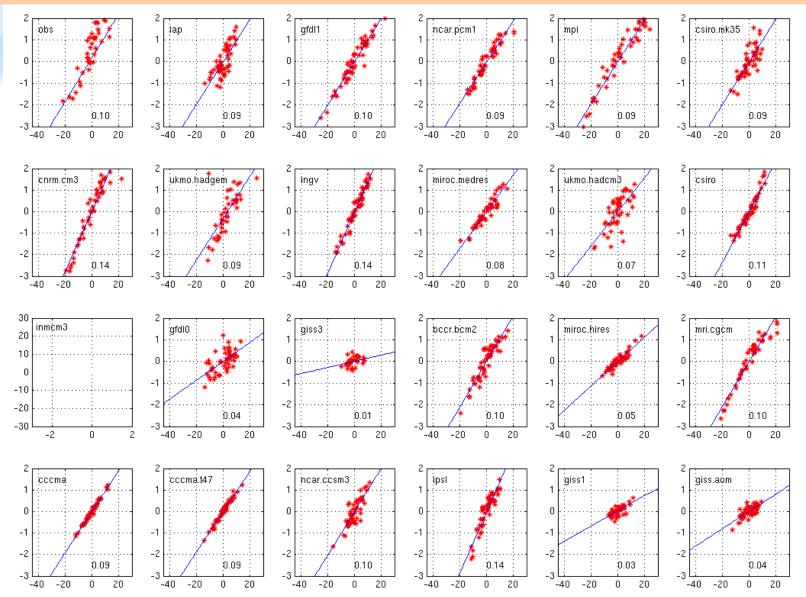
Scatter diagram between 850-hPa zonal wind anomaly in CEIO and SSTA in SEIO during the IOD developing phase (JAS) for the observation (top left) and each of the 23 AR4 models

#### **Diagnose strength of ocean thermocline response to unit wind forcing, R(D', u')**



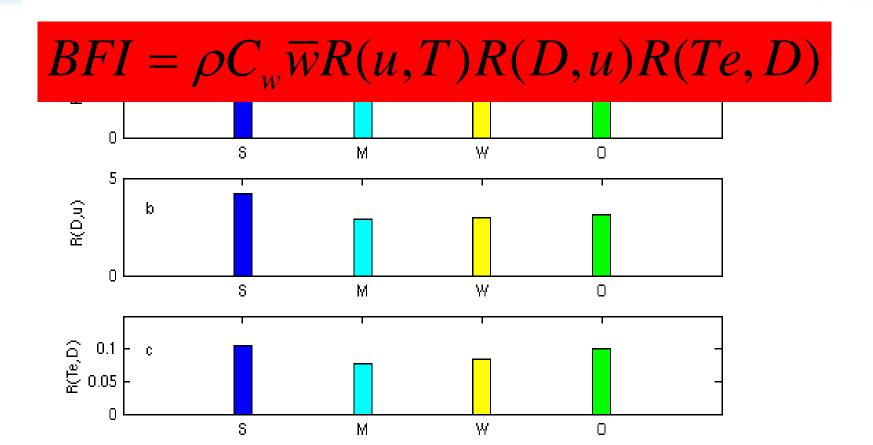
Scatter diagram between thermocline depth anomaly in SEIO and 850-hPa zonal wind anomaly in CEIO

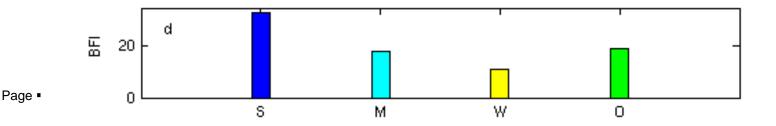
#### **Diagnose response of subsurface temp. to unit thermocline change, R(Te', D')**



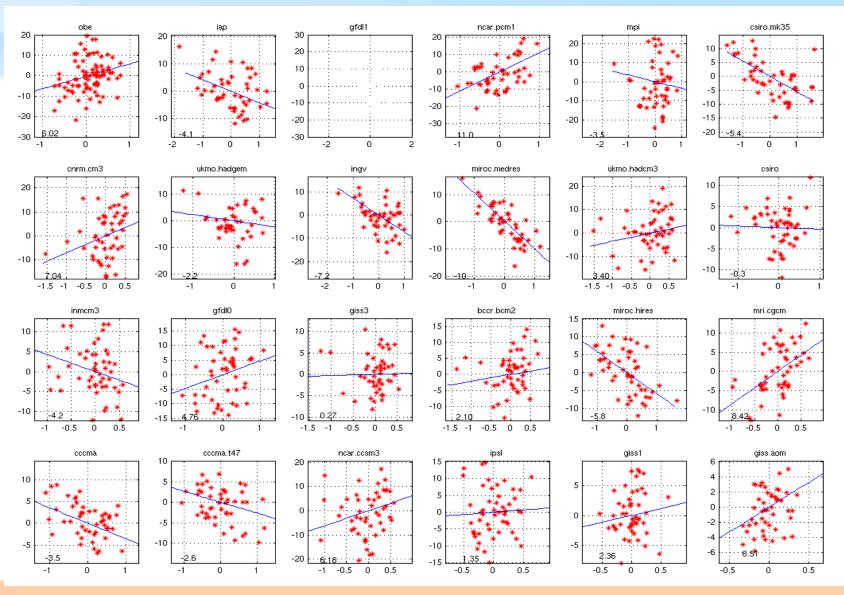
Scatter diagram between subsurface temperature anomaly and thermocline depth anomaly in SEIO

# R(u,T), R(D,u), R(Te,D) for strong, moderate and weak model groups and from the observations



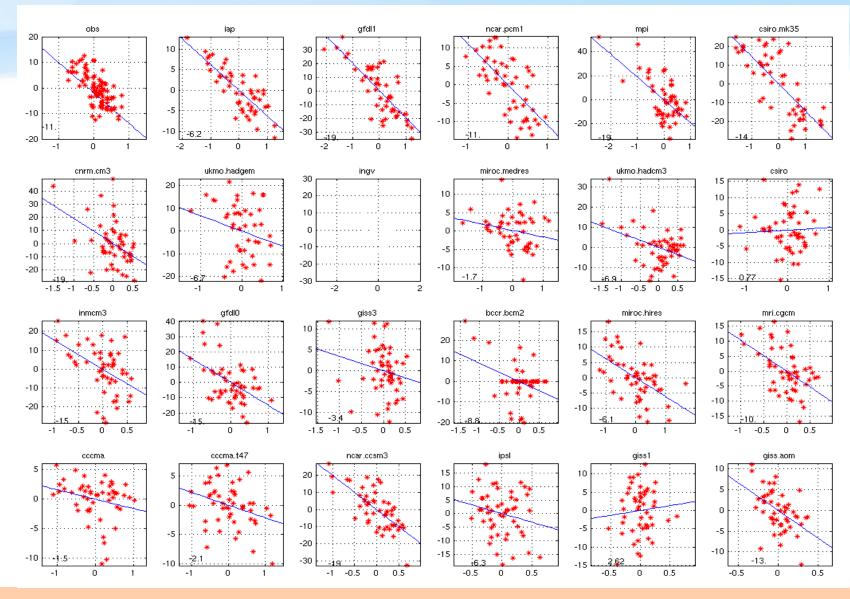


### **Diagnose the response of surface LHF to unit SSTA change, R(LHF', T')**



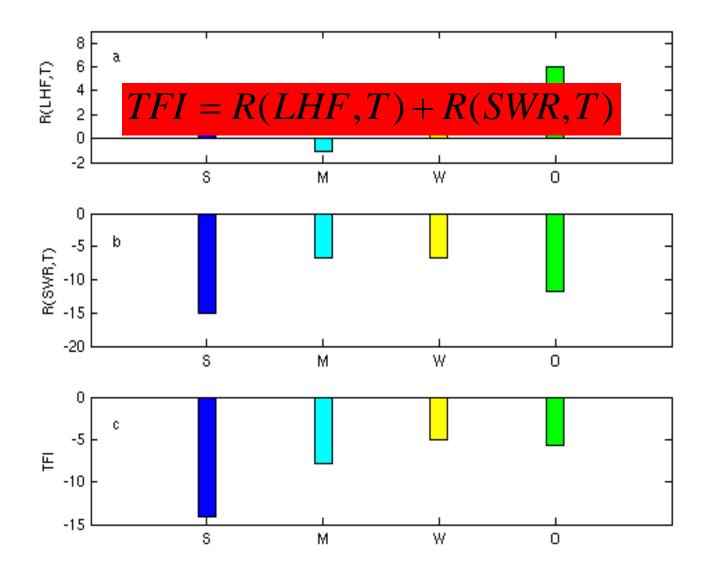
Scatter diagram between the surface latent heat flux (LHF) anomaly and SSTA in SEIO

#### **Diagnose the response of surface SWR to unit SSTA change, R(SWR', T')**

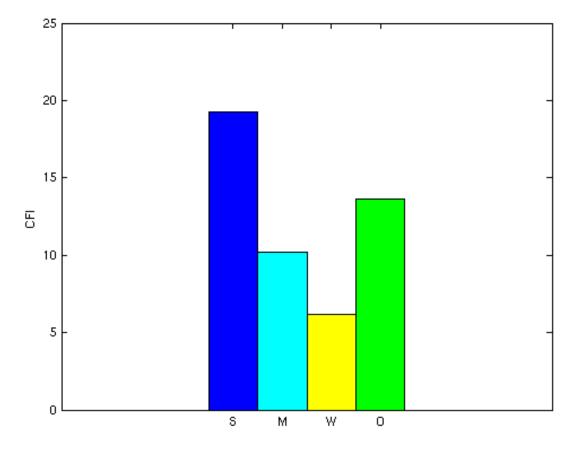


Scatter diagram between the surface net shortwave radiation anomaly and SSTA in SEIO

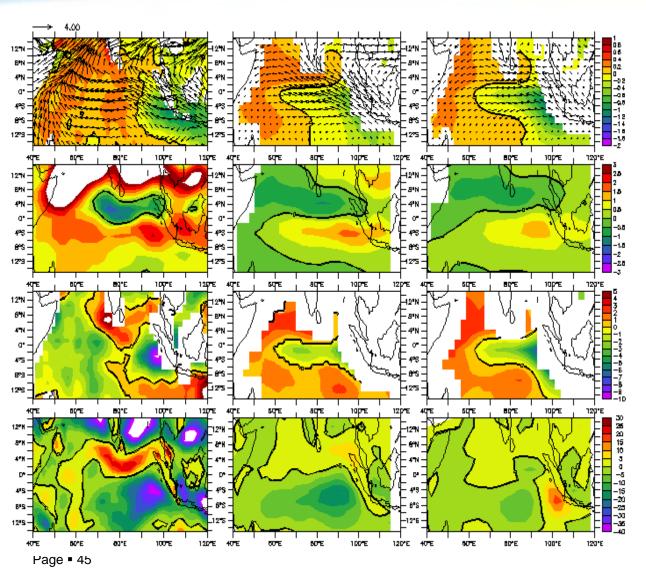
# R(LHF,T) and R(SWR,T) for the strong, moderate and weak composites and from the observations



# **CFI during the IOD developing phase (JAS) for the strong, moderate and weak composites and from the observations**



Why did some CGCMs generate a positive LHF-SST feedback while others generate a negative LHF-SST feedback?

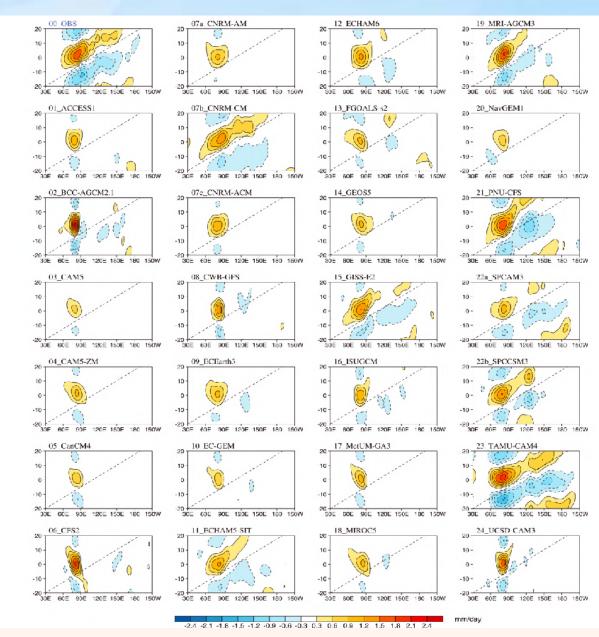


Top: SST (shading) and 925-hPa wind (vector) anomalies Second row: 925-hPa wind speed anomaly Third row: sea-air specific humidity difference anomaly (qs-qa) Bottom: surface LHF anomaly

Left: observations Middle: positive R(LHF, T) model composite Right: negative R(LHF,T) model composite during IOD developing phase

# Conclusion

- 23 AR4 models in simulating the Indian Ocean Dipole (IOD) were evaluated. A combined Bjerknes and thermodynamic feedback index was introduced. This index well reflects the simulated IOD strength and gives a quantitative measure of the relative contribution of the dynamic and thermodynamic feedback processes.
- The distinctive air-sea coupling strength among the AR4 models is partly attributed to the difference in the mean state. A shallower (deeper) mean thermocline, a stronger (weaker) background vertical temperature gradient, and a greater (smaller) mean vertical upwelling velocity are found in the strong (weak) simulation group. Thus, the mean state biases greatly affect the air-sea coupling strength on the inter-annual timescale.
- Many models failed to reproduce the observed positive LHF-SST feedback during the IOD development phase. The cause of this bias is attributed to the overestimate (underestimate) of effect of sea-air specific humidity (wind speed).



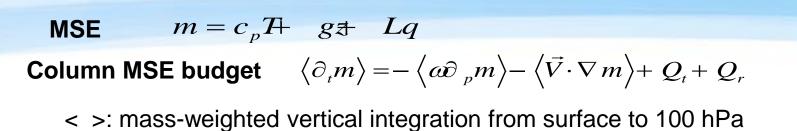
WCRP MJO TF THORPEX A Vide Vacine Vac

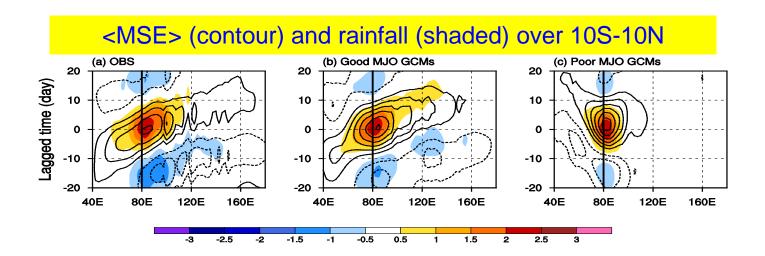
Lag-regression of 20-100 day filtered rainfall with Indian Ocean base point (75E-85E; 5S-5N)

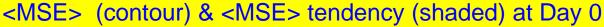
(from Jiang et al. 2015)

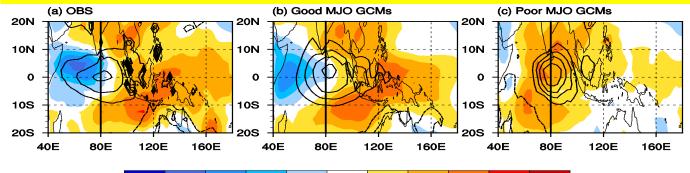
Science Question: What is the key mechanism for distinctive propagating features among GCMs?

Column integrated Moist Static Energy (MSE) budget









-1

1

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5

7

9

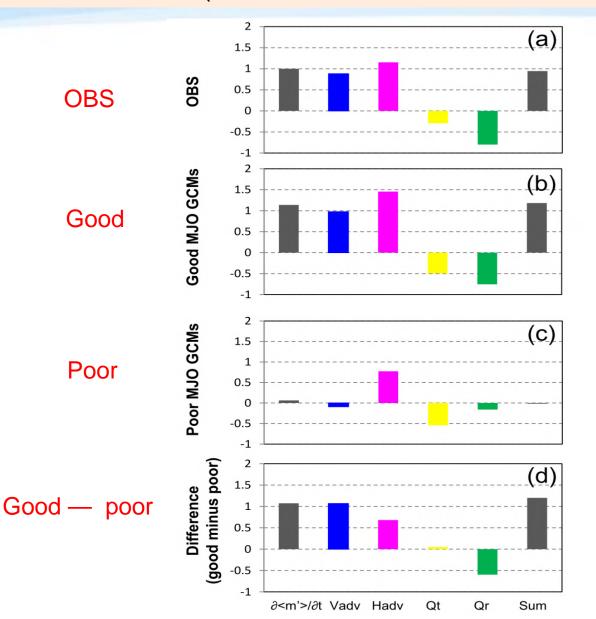
-9

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-3

# Projecting each MSE budget term to observed MSE tendency over (40° -160° E, 10° S-10° N)



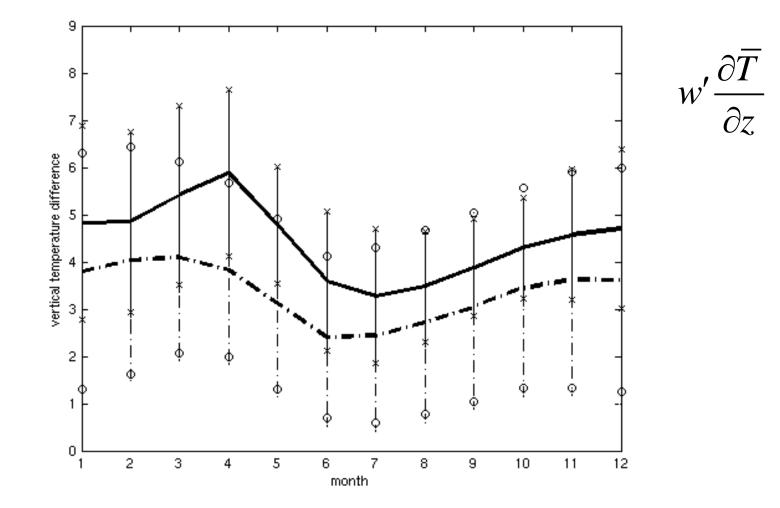
Projection method following Anderson and Kuang (2011)

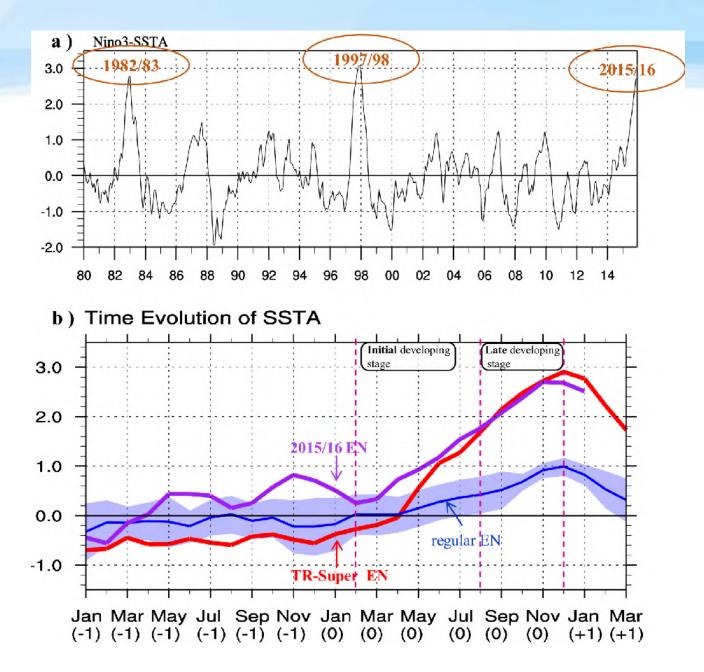


**Diamond Head** 

## Mean state difference between strong and weak groups: 1) mean thermocline depth along the equator

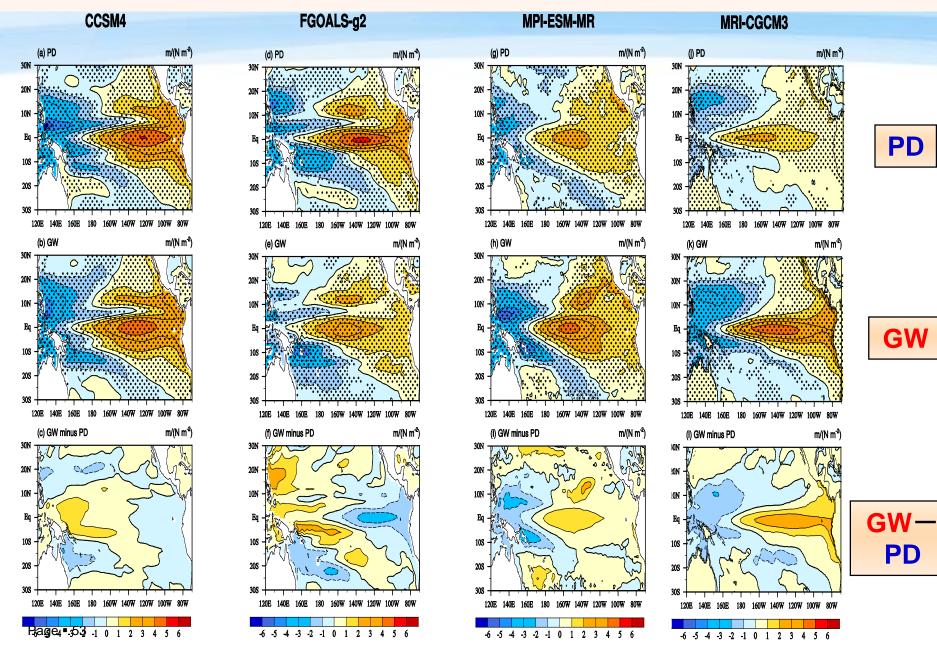
- 2) mean w
- 3) upper-ocean vertical temperature gradient





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# **Thermocline-depth patterns regressed onto Nino4 Taux anomaly**



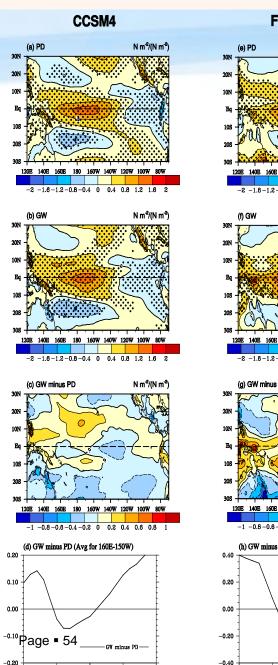
## What causes distinctive TH responses ? → Change of meridional profile of Taux'

N m<sup>-2</sup>/(N m<sup>-2</sup>)

120W 100W

N m<sup>2</sup>/(N m<sup>2</sup>)

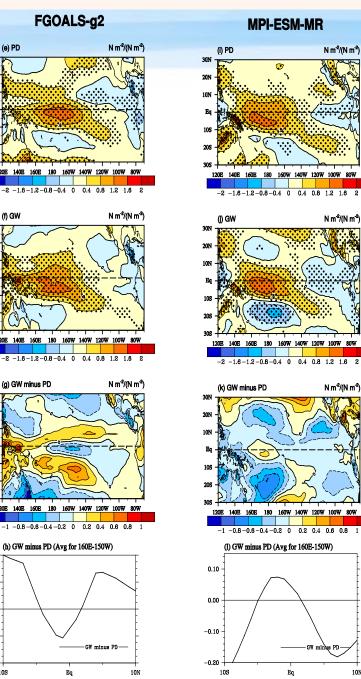
N m<sup>2</sup>/(N m<sup>2</sup>)

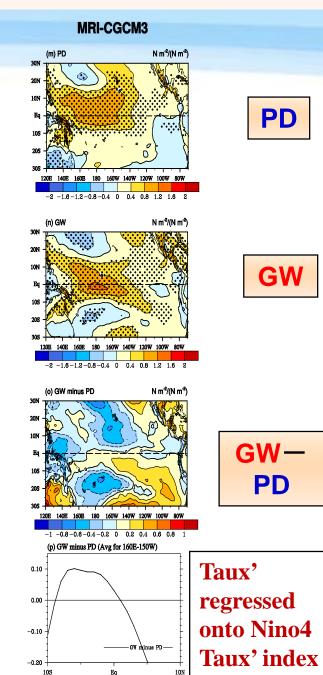


10N

Ra

105



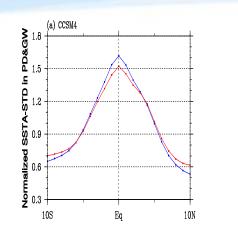


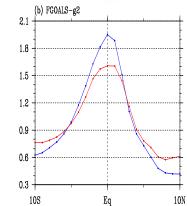
PD

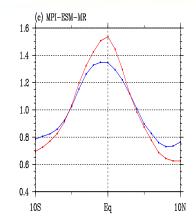
GW

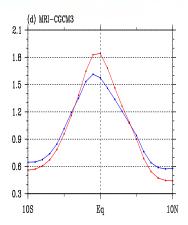
# **Change of Meridional Width of SSTA**

#### SSTA-Std regressed onto the Nino3 index



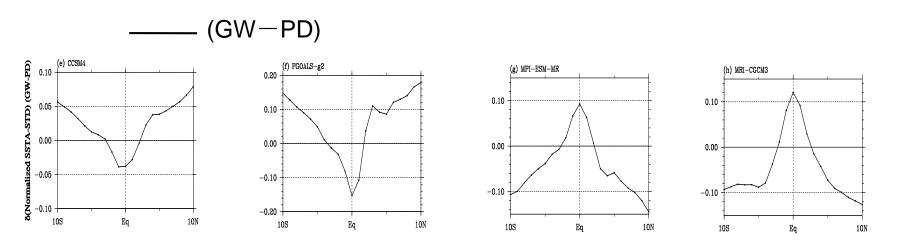






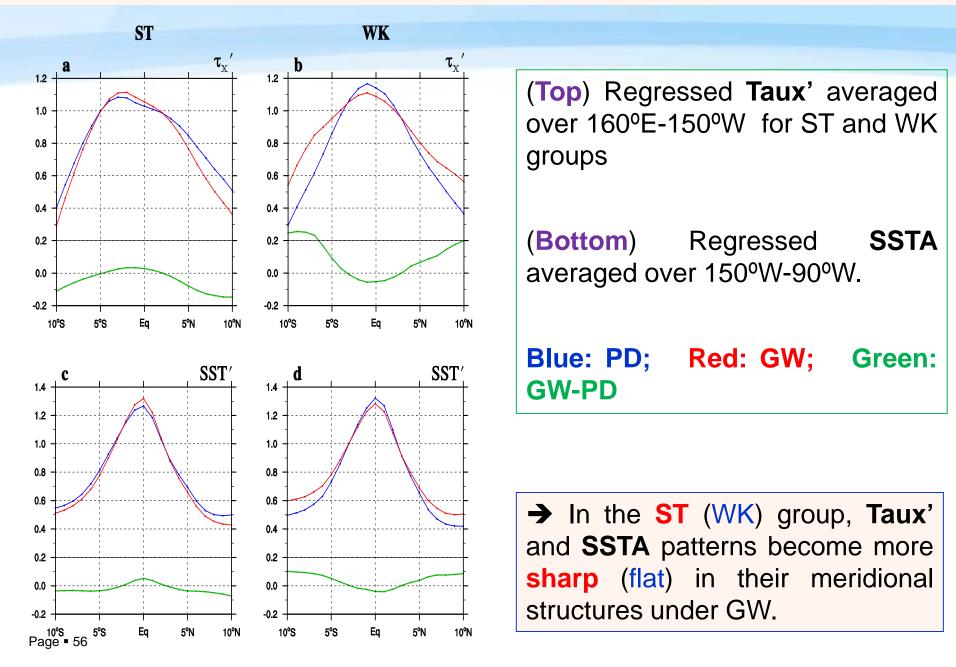
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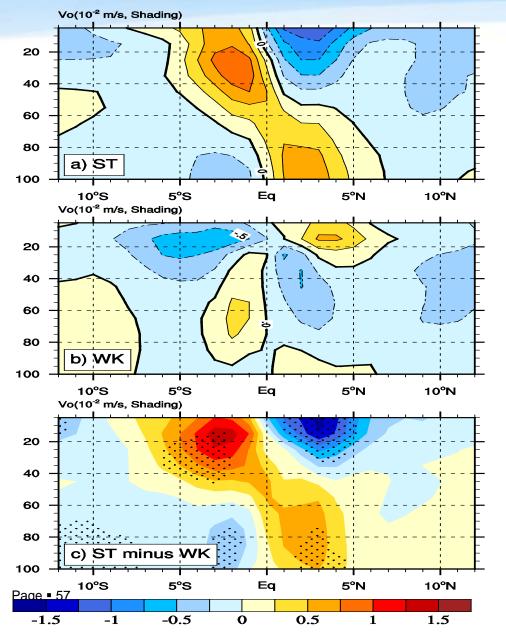
Decreased (increased) meridional scale of TauxA and SSTA was found in the CGCMs with strengthened (weakened) ENSO amplitude.

## Meridional Structure Change of Taux' and SSTA in 20 CMIP5 Models



# Changes of the Mean STC Intensity (ST vs. WK Group)

#### **Meridional Ocean Current Change**



(Left) Composite meridional ocean current change averaged over 160E-90W for ST (top) and WK (middle) groups and their difference (bottom, ST minus WK)

The **stippling** in the bottom panel indicates that the difference exceeds a **95% confidence level** using Student's t-test.

# Conclusion

• ENSO amplitude changes in 20 CMIP5 models are primarily controlled by Bjerknes TH and ZA feedback changes, both of which are determined by distinctive changes of TH response to unit wind stress forcing.

• The change of the mean state does not directly affect ENSO amplitude change but does indirectly affect it through the change of mean Subtropical Cell, which affects the meridional width of ENSO and thus coupled air-sea feedback strength.